

Attorney Docket No.: 114183-7

Ref. No.: P98-0040US2

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Daniel J. Woodruff et al.

Application No.: 10/084,962

Confirmation No.: 2206

Filed: February 27, 2002

Art Unit: 1742

For: ELECTROPLATING APPARATUS WITH

SEGMENTED ELECTRODE ARRAY

Examiner: Lois L. Zheng

DECLARATION OF DANIEL J. WOODRUFF AND KYLE M. HANSON **UNDER 37 C.F.R. § 1.131**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We, Daniel J. Woodruff and Kyle M. Hanson, hereby declare and say that:

1. We are employed by the assignee of the above-identified application, Semitool, Inc. ("Semitool") and have been named as joint inventors of the subject matter described and claimed in U.S. Patent Application No. 10/084,962, filed February 27, 2002, which is a continuation of U.S. Patent Application No. 09/113,418, filed July 10, 1998, and now U.S. Patent No. 6,497,801. This declaration is submitted to show conception and actual reduction to practice of the claimed invention in this country prior to February 12, 1998, and thus before the earliest filing date to which U.S. Patent No. 6,391,166 B1 claims priority.

BEST AVAILABLE COPY

2. Prior to February 12, 1998, we conceived and reduced to practice the invention presented in the claims of the above-captioned patent application. Our conception and actual reduction to practice of the claimed invention are each corroborated by (a) Semitool Invention Disclosure – 0006 for the concentric electrode array reactor (Exhibit A), (b) Semitool Fabrication Drawings for the concentric electrode array (Exhibit B), (c) Semitool Invention Disclosure – 0005 for the concentric electrode array reactor (Exhibit C), (d) Semitool Work Requests for building the components of the concentric electrode array (Exhibit D); and (e) Semitool Reactor Drawings for a preexisting reactor developed by Semitool (Exhibit E).

- 3. We prepared and signed Semitool Invention Disclosure 0006 well before February 12, 1998, and this document was also witnessed and understood by two colleagues at Semitool before February 12, 1998. Semitool Invention Disclosure 0006 lists dates of conception and written description before February 12, 1998.
- 4. The Semitool Fabrication Drawings include Semitool Drawing Nos. ATG0233-ATG0238, which were also prepared before February 12, 1998. Semitool Drawing No. ATG0233, more specifically, includes isometric, cross-sectional and exploded views that correspond to Figures 2-5 of the present application.
- 5. We also prepared and signed Semitool Invention Disclosure 0005 before February 12, 1998, and this document was also witnessed by colleagues at Semitool before February 12, 1998. Semitool Invention Disclosure 0005 lists the dates of conception and written description before February 12, 1998.
- 6. As shown in Semitool Invention Disclosure 0006, the Semitool Fabrication Drawings, and Semitool Invention Disclosure 0005, we conceived of a system for electroplating a layer of material on a semiconductor wafer. In one embodiment, such as set forth in claim 17, the system includes an electrochemical cell comprising a primary anode, a cathode contact, and a chamber in which the primary anode and the cathode are

disposed (Exhibit A, p. 1). We further conceived of one secondary anode for providing a variable current to the semiconductor wafer (Exhibits A-C), and using an electrolytic solution disposed within the electrochemical cell (Exhibit A, p. 1). We also conceived of applying different anode potentials to individual electrodes (Exhibit A, p. 2), and independently controlling the electrical potential to each anode to dynamically modify the anode configuration (Exhibit C, p. 1). We accordingly conceived of a power source capable of providing varying levels of voltage to a primary anode and a secondary anode.

- 7. We conceived of still further aspects of systems for electroplating a layer of material on a semiconductor wafer. For example, we conceived that the anodes can have a ring-shape (Exhibits A-C), the secondary anode can include a first secondary anode and a second secondary anode (Exhibits A-C), and/or the secondary anodes can comprise first and second concentric rings (Exhibits A and B). We further conceived that the wafer can act as a cathode to receive an electroplating film (Exhibit A, p. 1). We also conceived that a variable current or a variable voltage can be applied to the anodes (Exhibits A and C).
- 8. We also conceived of an anode system for performing an electroplating operation. In one embodiment, such as set forth in claim 32, such an anode system includes a plurality of anodes for performing an electroplating operation on a part (Exhibits A-C). We further conceived that the anodes are insulatively coupled together on a dielectric mount (Exhibit B, Semitool Drawing Nos. ATG0233 and ATG0234), and operating the anodes to provide a variable current to the plurality of anodes by varying the voltage levels (Exhibit C). We further conceived of a plurality of leads respectively coupled to the anodes such that the leads have the capability of providing independent electrical currents from a power source to respective anodes. More specifically, the anode mount illustrated in Semitool Drawing Nos. ATG0233 and ATG0234 (Exhibit B) include mounting holes through which individual leads can independently provide electrical currents to individual anodes.

9. After conceiving this invention, we reduced the invention to practice by constructing a prototype of the concentric electrode array according to Semitool Drawing Nos. ATG0233 to ATG0238 (Exhibit B) prior to February 12, 1998. More specifically, Semitool Work Requests 00474-00478 (Exhibit C) were submitted to Semitool's shop for fabricating the individual components shown in Semitool Drawing Nos. ATG0234 to ATG0238 well before February 12, 1998. Additionally, we indicated that the date needed for receiving the components of the concentric electrode array from the shop was also well before February 12, 1998. We proceeded to assemble the components illustrated in Semitool Drawing Nos. ATG0234 to ATG0238 and the concentric electrode assembly shown in Semitool Drawing No. ATG0233 was fabricated and assembled well before February 12, 1998.

- 10. Semitool had also created reactor vessels before February 12, 1998. For example, sheets 1-3 of Semitool Drawing Nos. 100T0041 (Exhibit E) were created well before February 12, 1998. No inventive effort was required to assemble the concentric electrode assembly shown in Semitool Drawing No. ATG0233 (Exhibit B) with the vessel illustrated in Semitool Drawing No. 100T0041 (Exhibit E) as shown in Semitool Invention Disclosure 0006 (Exhibit A, p. 1).
- 11. We previously submitted a declaration under 37 C.F.R. § 1.131 that demonstrated we further conceived and reduced to practice the subject matter of the claims in this application before April 21, 1998, which is the earliest filing date claimed by U.S. Patent No. 6,261,433 issued to Landau (Exhibit F). As stated in our previous declaration under 37 C.F.R. § 1.131 directed to U.S. Patent No. 6,261,433, the electrode array was built, installed in a plating reactor as described in the present application, and successfully tested before April 21, 1998.
- 12. The dates contained on each of the Exhibits have been removed, but all of the dates in Exhibits A-E are before February 12, 1998, and thus corroborate the

conception and actual reduction to practice of the subject matter disclosed and claimed in the present application.

13. We further declare that all statements herein made of our own knowledge are true, and that all statements made on information or belief are believed to be true; and further, that the statements are made with the knowledge that the making of willful or false statements or the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and may jeopardize the validity of any patent issuing from this patent application.

Dated this / TH day of JAUUARY

Kyle M. Hanson

Daniel J. Woodryff

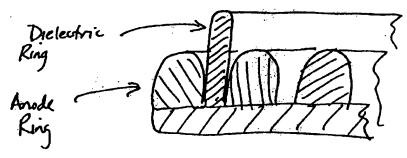
EXHIBIT A

INVENTION DISCLOSURE

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Describe invention with drawings, sketches, etc. and a written explanation. Draw If attached, the inventor(s) and witnesses must rise and a written explanation.	rings may be below or attached.
If attached, the inventor(s) and witnesses must sign and date each sheet. 4. Describe the advantages of this invention compared to the current approach, if all	
J. HIVERIUR(8) 2010 TWO (2) WITHESSES Must sign and date each sheet	*
6. Send original signed documents to the Intellectual Property Department Relain	B Decadual cour
Inventor(s) Name and Social Security Number	parsonia copy.
Daniel J. Woodruff	
Kyle M. Hanson	
Title of Invention:	
Concentric Aruse Army with Centered Flird Flow	4
Invention:	
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manual and a second	' plating process)
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	- Radial Anole
	Array (4 rings shown
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Explanation and Advantages:	
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will be calculated as to the effect of	the imagnetic fluid
on the hydrodynamic boundary layer. This radial eff	t de la sancial
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for the by operating the anode rings at different ele	ctrical potentials.
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Witnessed and Understood By: Date: Date of Written Description:	Working Model Prepared?
Jon L Kitzdaff	V01-
21/12	Yes/No
Zwin	
	Date:

Explanation and Alvantages (Cont.)

In addition to affecting plating uniformity by using different aproble potentials it would also be possible to affect uniformity with di lectric (insulating) material placed between the anode ring (seesketch



The geometry of the dielectric material could be modified to provide the desiral effect on plating. Tall geometries to would tend to limit interaction of adjacent anodes (and perhaps collimate current flow to the water) while shorter or perforated geometries would tend to increase anode interaction. Similar effects may also be possible by positioning the anode rings at varying distances from the water surface. The advantages to this design are:

i) No diffuser is required between the anode and wafer. Fluid flow rate and convent distribution can be controlled independent of one another in the proposed design, but can't in the existing system another in the proposed design, but can't in the existing system which uses a diffuser constructed of dielectric material. Howing these which uses a diffuser constructed of dielectric material. Howing these variable independently controllable makes it easier to optimize the plating process.

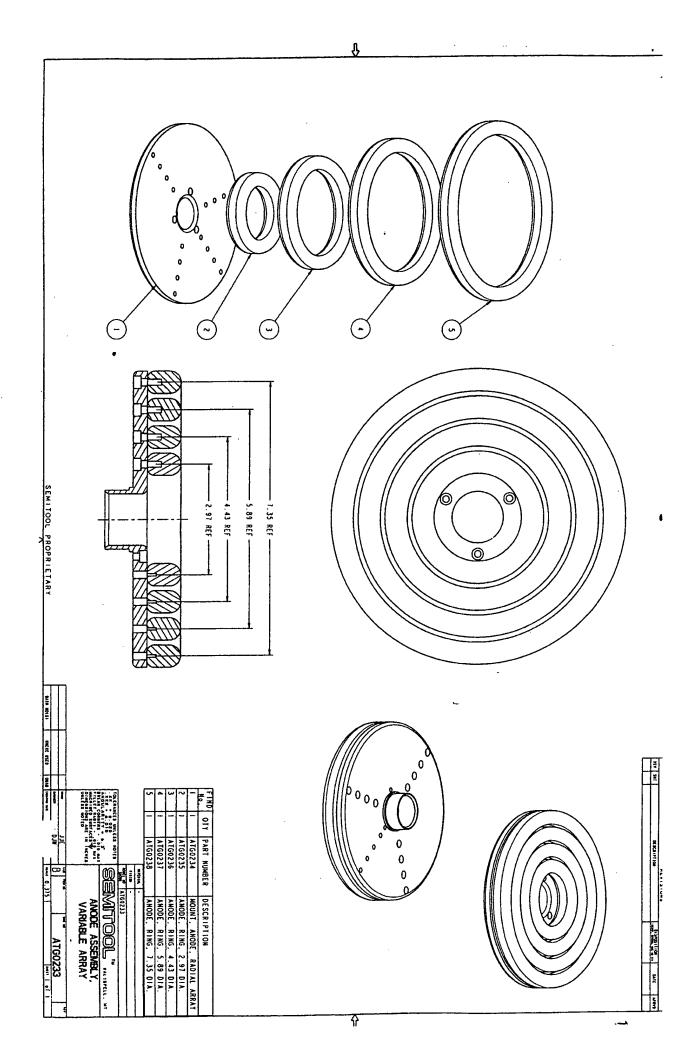
2) his bubbles introduced into the plating chamber by the incoming this desired the water surface and won't interfere flow are simply flushed passed the water surface and won't interfere with the plating process. With the existing system utilizing a diffuser these bubbles can attach to the diffuser surface and adversally impact bubbles can attach to the diffuser surface and adversally impact diffuser performance

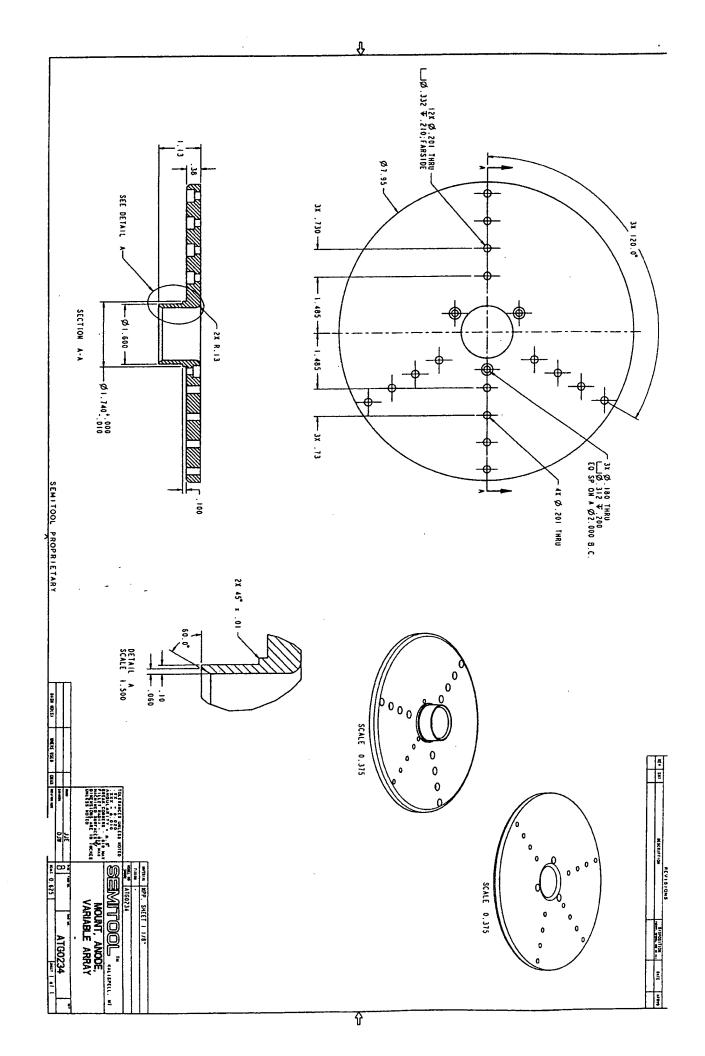
3) Fluid flow through the center of the anode ensures the watersurface will be welled from the center out. This will prevent air being trapped be welled from the center when t first contacts the fluid surface at the center of the water when t first contacts the fluid surface at the center of the water when t

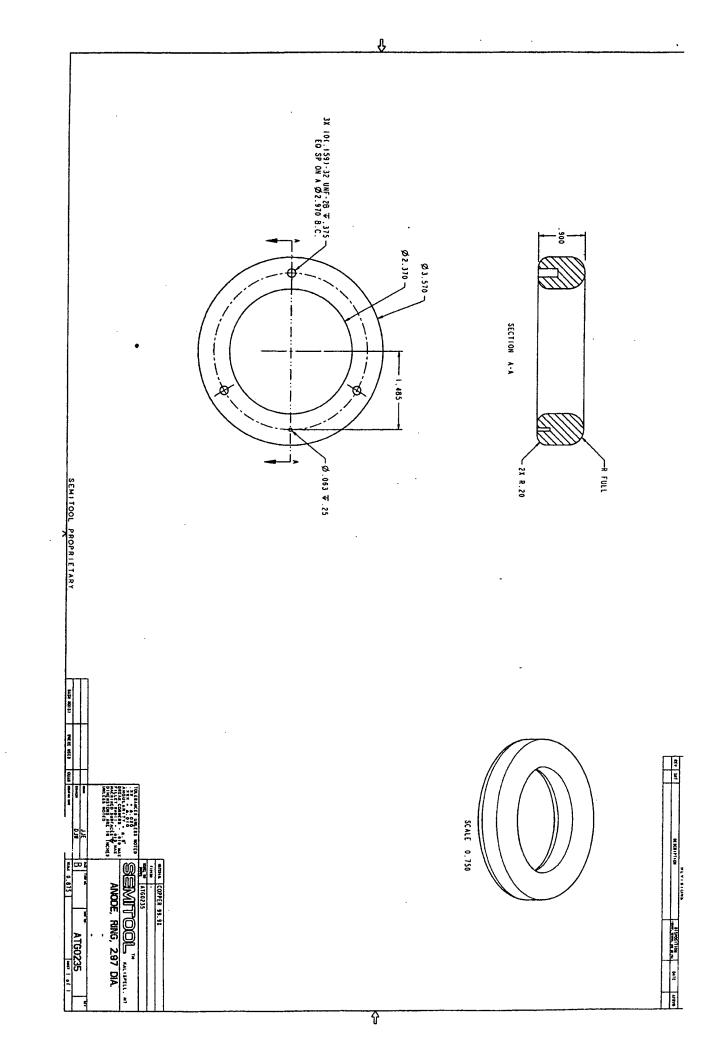
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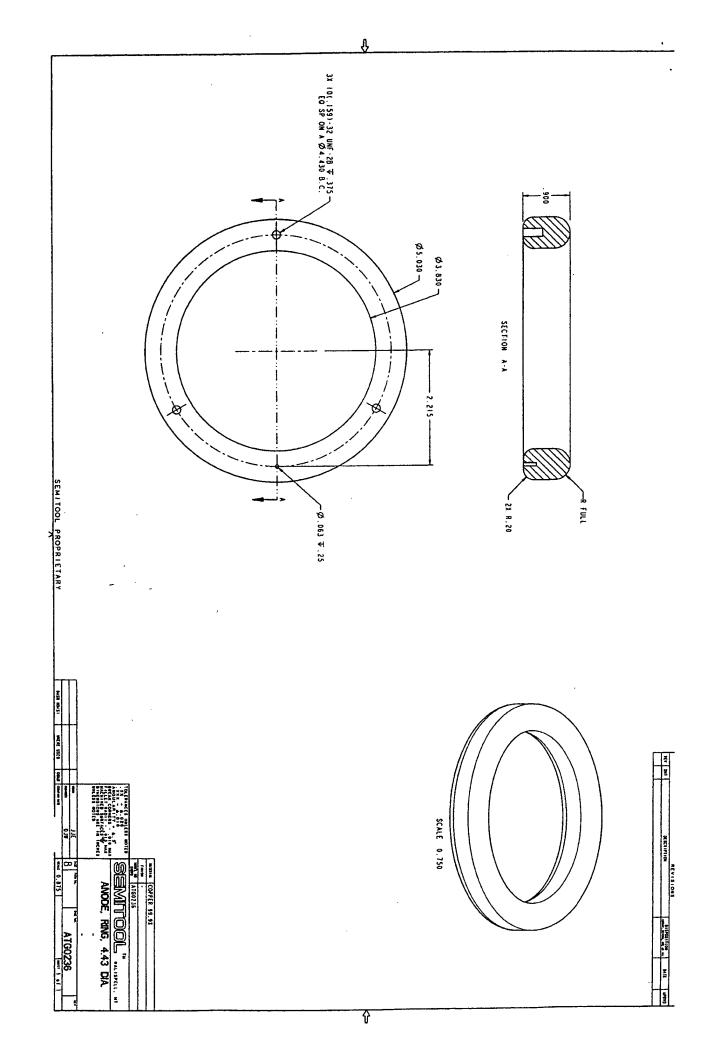
Daniel Wooduff

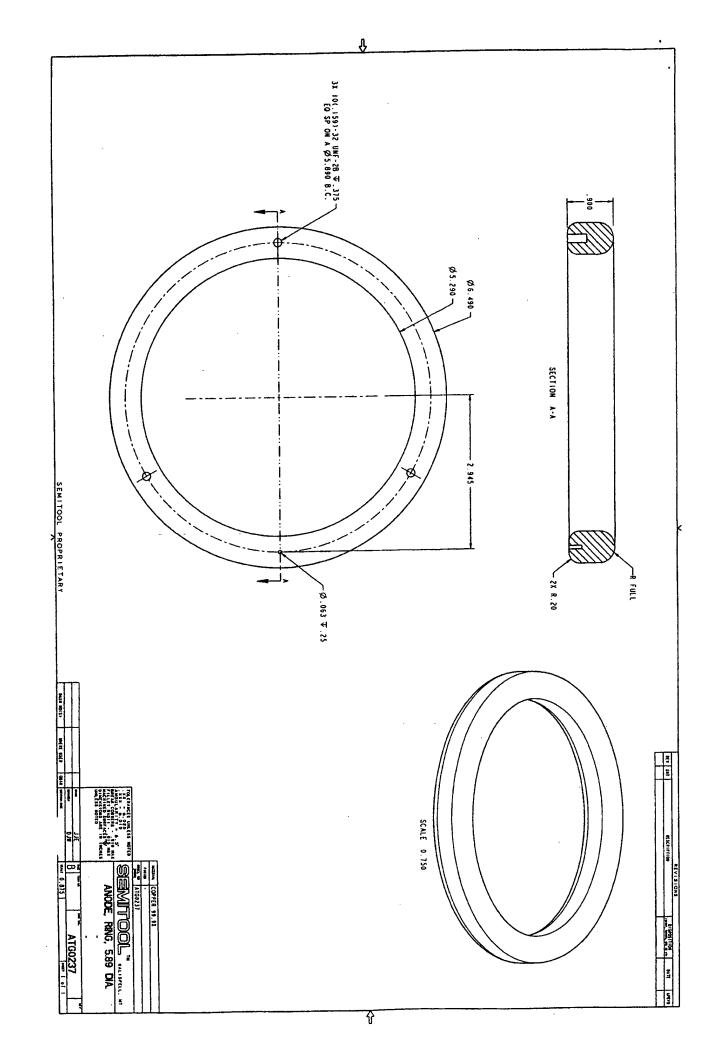
EXHIBIT B











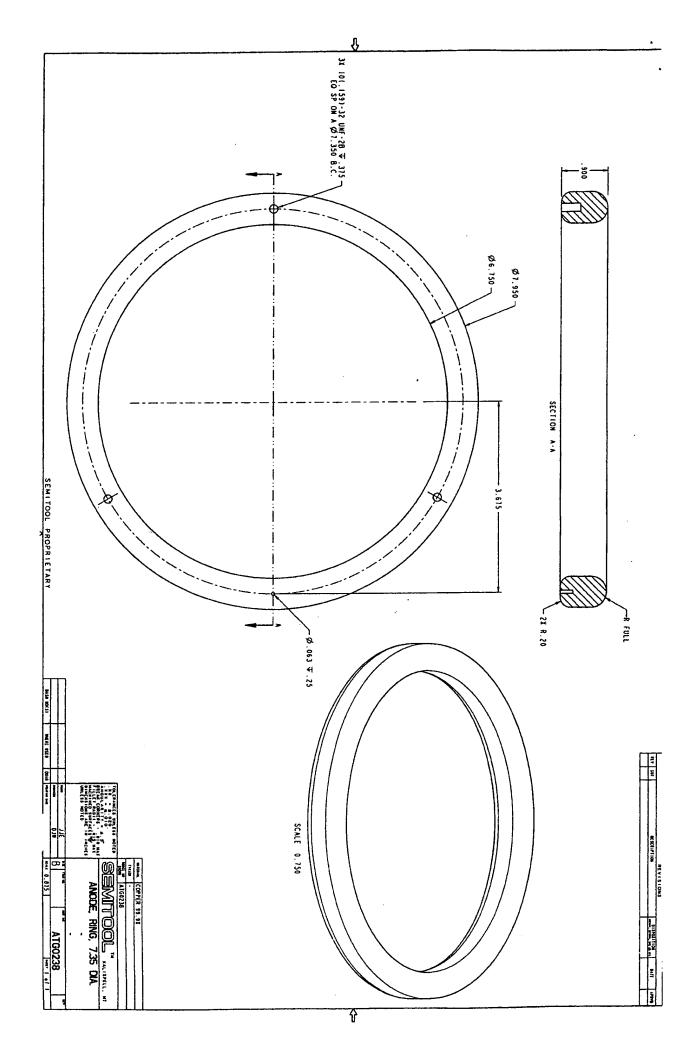


EXHIBIT C

INVENTION DISCLOSURE

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SEMITOOL, INC.

Note: 1. Use link or Type Only 2. Do not erase errors. Line through any errors, initial and date. 3. Describe invention with drawings, sketches, etc. and a written explanation. Drawings may be below or attached. If attached, the inventor(s) and witnesses must sign and date each sheet.			
Describe the advantages of this invention compare Inventor(s) and two (2) witnesses must sign and da	d to the current approach, if any.		
Send original signed documents to the intellectual	Property Department. Retain a pers	onal copy.	
Inventor(s) Name			
KYLE M. HANSON HENRY	. Stevens		
CHRIS K. HAVGAN DANIEL	J. WOODRUFF		
Tool or Process: ELECTRO CHEHICAL DEPO	sition		
Title of Invention:			
ANDE CONFIGURATION DYNAMIC	<u>C</u> CONTROL		
Invention:			
Sketch	WHER		
			
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Witnessed and Understood By: Date:	Date of Written Description:	Working Model Prepared?	
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Explanation of Odontyce (ait)

effects from the pleted film growth on the water. As these film grows on the roofer the current distribution on the nosfer will change due to the difference in the films electrical conductivity.

This capability to dynamically alter the amede configuration as his process progresses is becomes more important in the case of high resistance seed layers. In this one, the transient change in the film characteristics is made larger in magnitude as a layer of high electrical conductivity copper, for example, is deposited on a much lover conductivity layer

The the best of the potential applied to each anode segment in be individually troplied

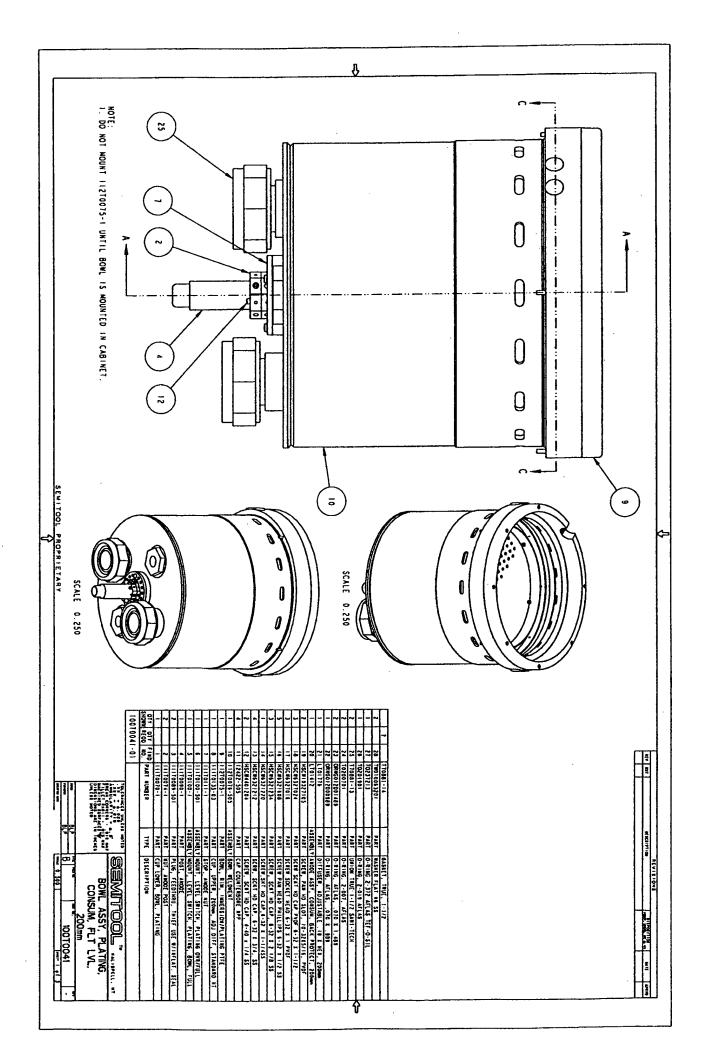
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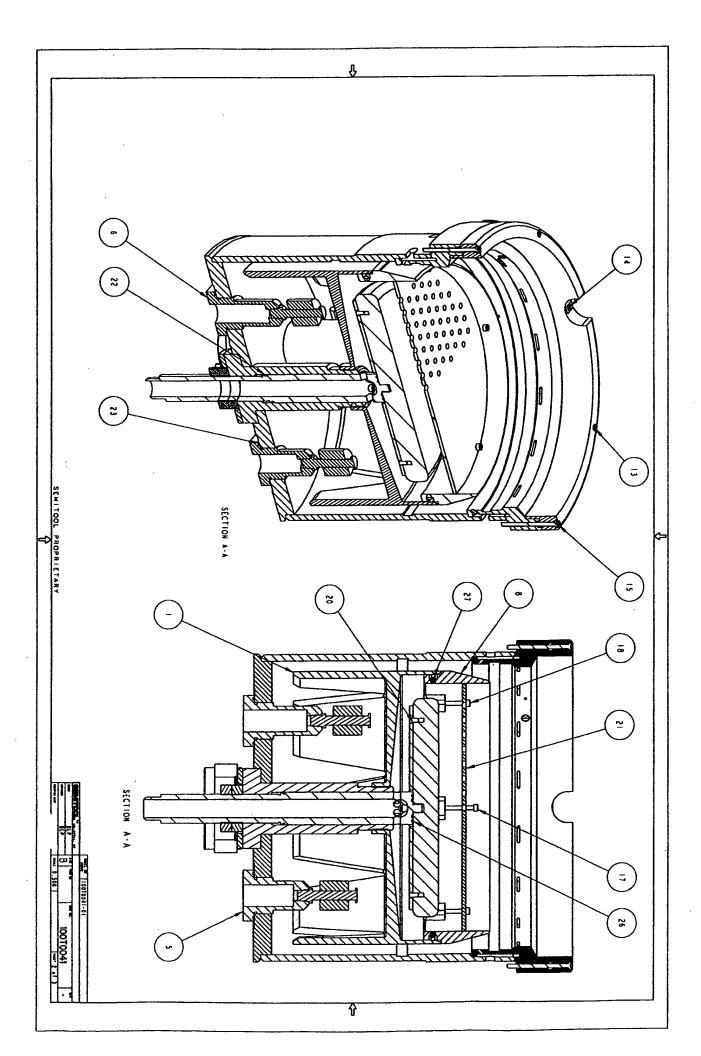
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EXHIBIT D

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EXHIBIT E





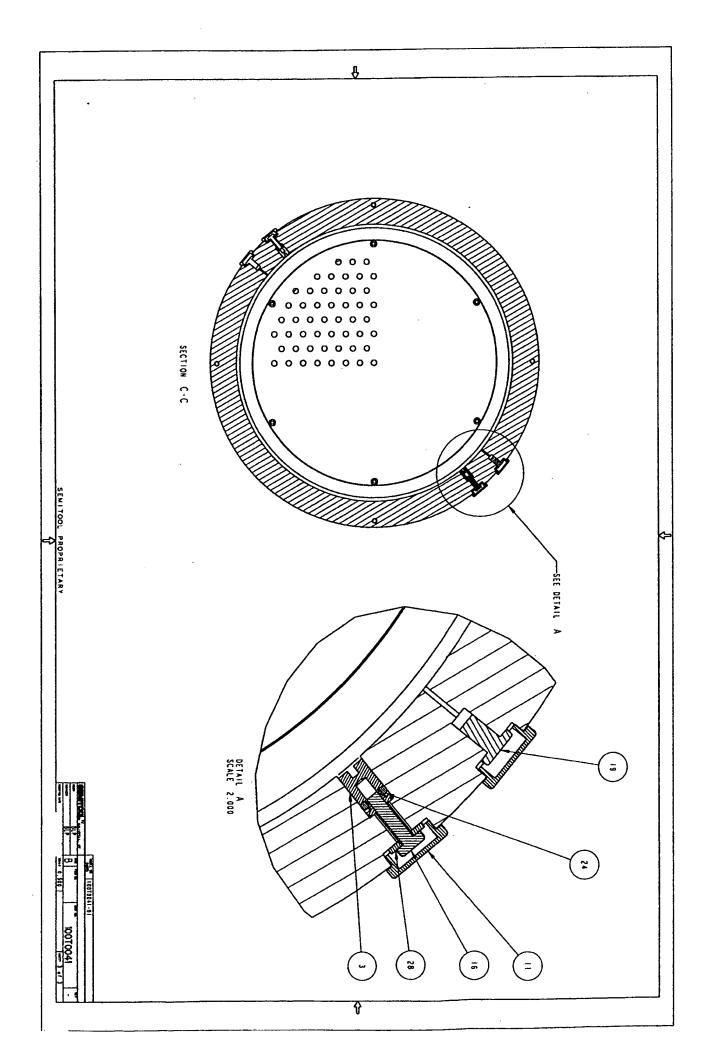


EXHIBIT F

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Daniel J. Woodruff, et al.

Application No.: 10/084,962

Confirmation No.: 2206

Filed:

February 27, 2002

Title:

ELECTROPLATING APPARATUS WITH

SEGMENTED ANODE ARRAY

Art Unit:

742

Examiner:

Donald R. Valentine

Docket No.:

114183-7 (P98-0040US2)

DECLARATION UNDER 37 C.F.R. § 1.131 OF DANIEL J. WOODRUFF AND KYLE M. HANSON

We, Daniel J. Woodruff and Kyle M. Hanson, hereby declare and say that:

We are the inventors of the subject matter disclosed and claimed in the above-identified application, having made the invention described therein in the United States. We conceived and reduced to practice the subject matter of the claims in this application prior to April 21, 1998, the earliest filing date claimed by U.S. Patent No. 6,261,433 ("the '433 patent).

2. To demonstrate such prior conception and reduction to practice. we attach hereto as Exhibit A our Invention Disclosure for the concentric anode array reactor and, as Exhibit B our Invention Record describing the anode

Application No. 10/084,962 Declaration Under 37 C.F.R. § 1.131 March 23, 2005

configuration, both forming the basis for the drawings contained in the above-identified application. Exhibit A, naming ourselves as inventors thereof, was prepared and signed by us well prior to April 21, 1998 and lists dates of conception and written description also prior to April 21, 1998. In addition, Exhibit A was witnessed and understood by two colleagues at Semitool, Inc., also prior to April 21, 1998.

- 3. Exhibit B which we both signed was also prepared prior to April 21, 1998 and witnessed before that same date. Together, Exhibits A and B were used in the preparation of the drawings contained in the present application, thus establishing conception of the method and apparatus disclosed in the above-identified application prior to April 21, 1998.
- 4. The segmented anode described in the present application is shown in Semitool engineering drawings, Exhibits C, D. E, F, G, H and I, just as described in the present application. The drawings of Exhibits C-I were each made prior to April 21, 1998 and were used to construct the segmented anode at the facility of Semitool, Inc. in Kalispell, Montana. That segmented anode was built, installed in a plating reactor as described in the present application and shown in Exhibits A and B, and that apparatus was successfully tested prior to April 21, 1998.
- 5. The dates contained on each of the exhibits have been removed, but all are prior to April 21, 1998, and thus corroborate conception and reduction to practice of the subject matter disclosed and claimed in the present application.

Application No. 10/084,962 Declaration Under 37 C.F.R. § 13 March 23, 2005

- 6. hereby declare under the penalty of perjury under the laws of the United States of America that the foregoing is true and correct to the best of my knowledge and belief.
 - 7. Further declarants sayeth not.

Executed this 23rd day of March, 2005 in Kalispell, Montana.

Woodruff Kyle M. Hanso

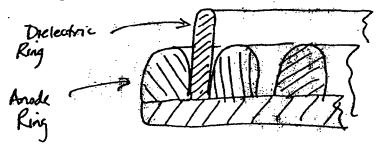
INVENTION DISCLOSURE

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Daniel J. Woodnett	•	
Kyle M. Hanson		
Title of Invention:	TOOL: Plating	
Concentric Arode Array with Centered	Fluid Flow	
Invention:		
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Signature(s) of Inventor(s): Date: Date of Co.	cepson: Date of	asi Skeizvoraway.
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Witnessed and Understood By: Date: D	ate of Written Description:	Working Model Prepared?
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Explanation and Asvantages (Cont.)

In addition to affecting plating uniformity by using different apude potentials it would also be possible to affect uniformity with de lectric (insulating) material placed between the anode ring (seestetch



The geometry of the dielectric material could be modelled to provide the definite effect on planing. Tall geometries be would tend to limit interaction of adjacent anodes (and perhaps collimate current flow to the water) while shorter or perforated geometries would flow to the water) while shorter or perforated geometries would find to increase anode interaction. Similar effects may also be passible by tend to increase anode interaction. Similar effects may also be passible by positioning the anode rings at varying distances from the water surface.

The adjantages to this design are:

1) No diffuser is required between the anode and wefers Filling flow rate and current distribution can be controlled interpendent of one another in the proposed design, but can't in the existing system another in the proposed design, but can't in the existing system these which uses a diffuser constructed of dielectric material. Ithering these which uses a diffuser constructed of dielectric material. Ithering the plating variable independently controllable makes it easier to optimize the plating process.

2) Air bubbles introduced into the plating chamber by the incoming third extense surface and won't into the passed the wifer surface and won't into the state that are simply flushed passed the wifer system utilizing a diffuser these with the plating process. With the existing system utilizing a diffuser these with the plating process. With the existing system utilizing impract bubbles can attach to the diffuser surface and adversely impract

3) Fluid flow through the center of the anode ensures the water surface will be welled from the center out. This will prevent air being trapped be welled from the center out of this contacts the fluid surface at the center of the water when the first contacts the fluid surface at the center of the water when the first contacts the fluid surface.

Sand Waduf

INVENTION DISCLOSURE

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SEMITOOL. INC.

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5. Inventor(s) and two (2) witnesses must sign and dai 6; Send original signed documents to the Intellectual F		and copy
Invertor(s) Name	roperty coperations. Recent a parse	and copy.
KYLE M. HANSON HOURY	STEVENS	
•	J. WOODRUFF	
Chas E. Havara	3. 2000001	ţ
Tool or Process: ELECTRO CHEHICAL DEPO	SMON	
Title of Invention:		
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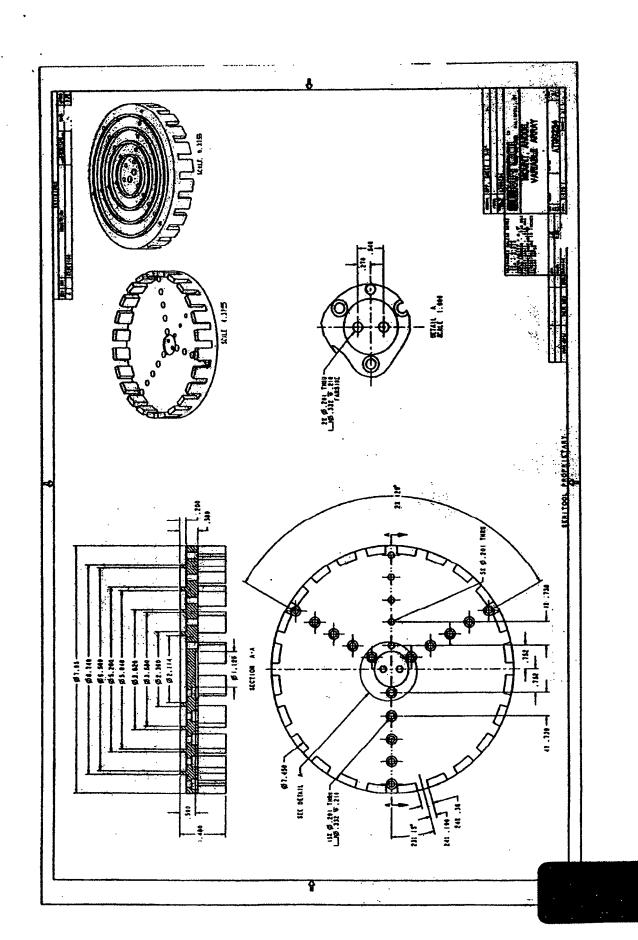
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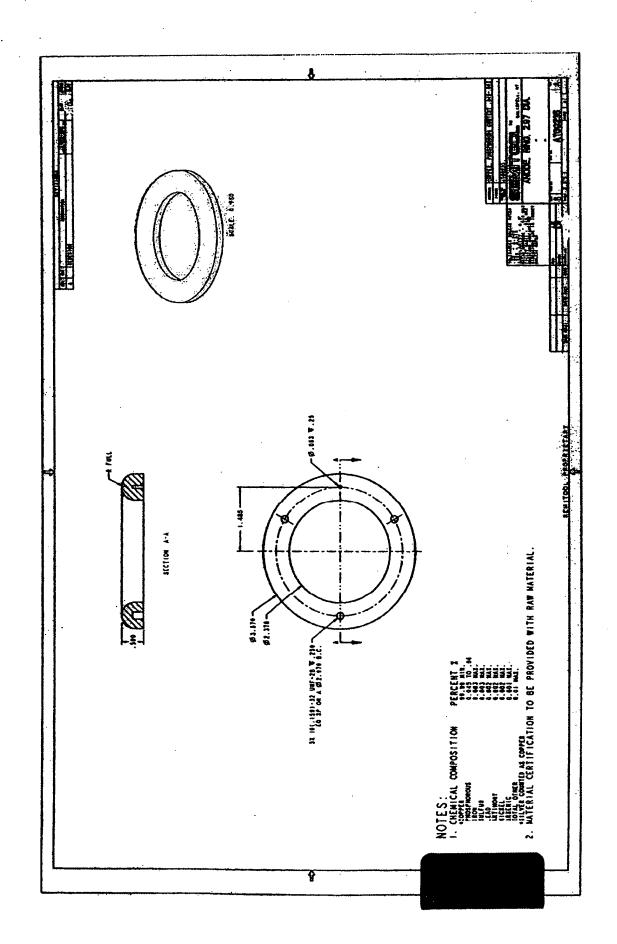
This capability to dynamically alter the awade configuration as the process progresses in becomes more injuriant in the case of high resistance seed layers. In this one, the transient change in the film characteristics is made layer in magnitude as a layer of high electrical conductivity copper for example, is depositation a much lower conductivity layer

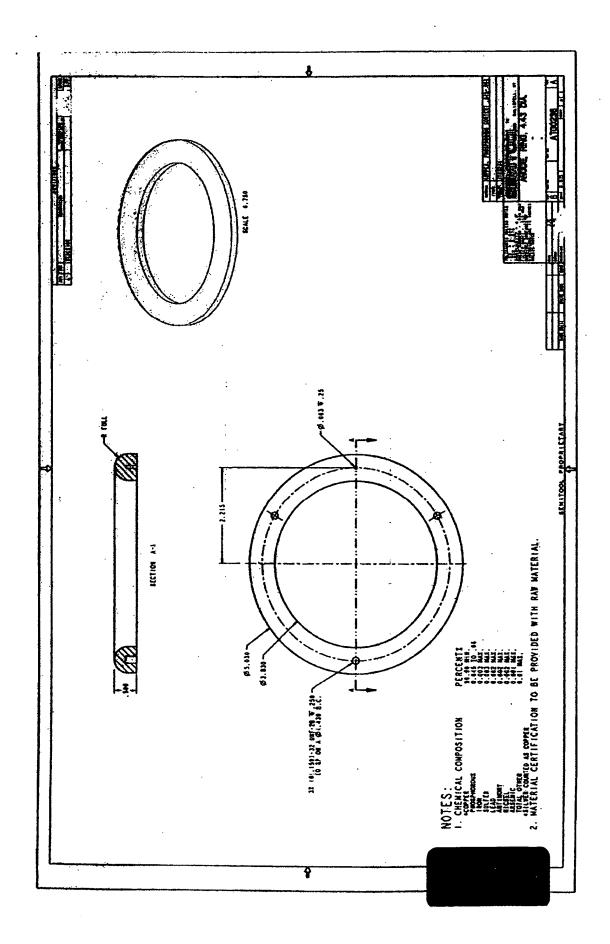
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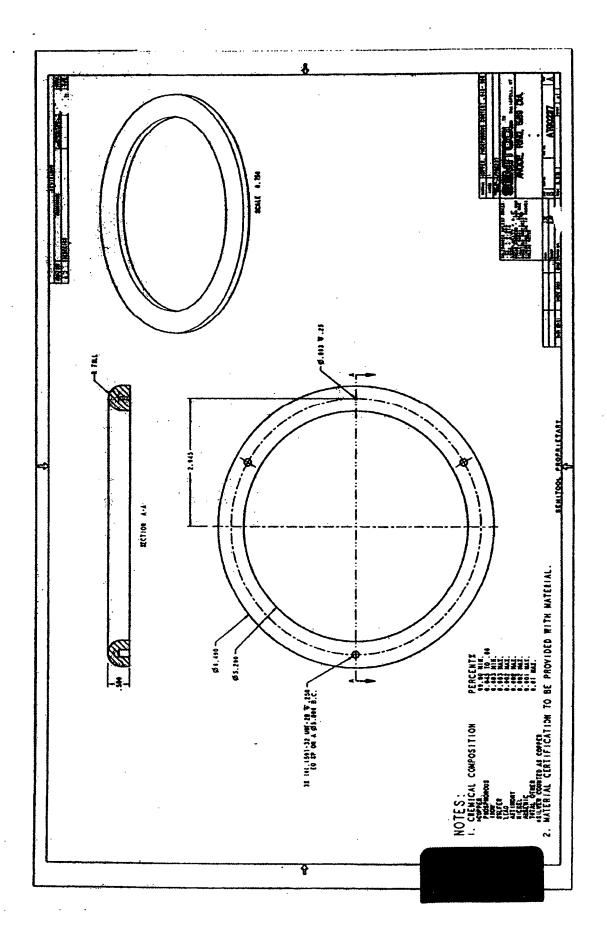
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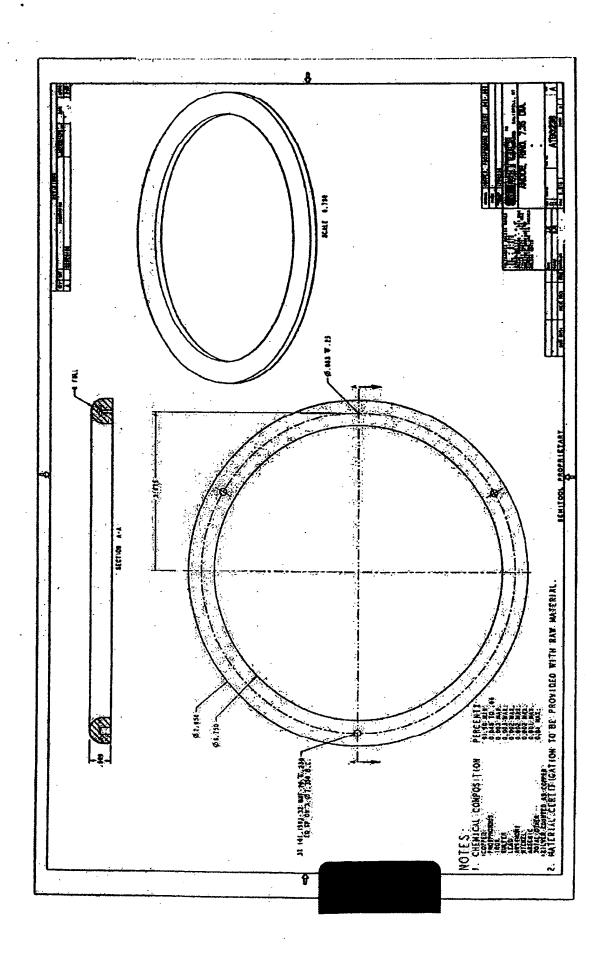
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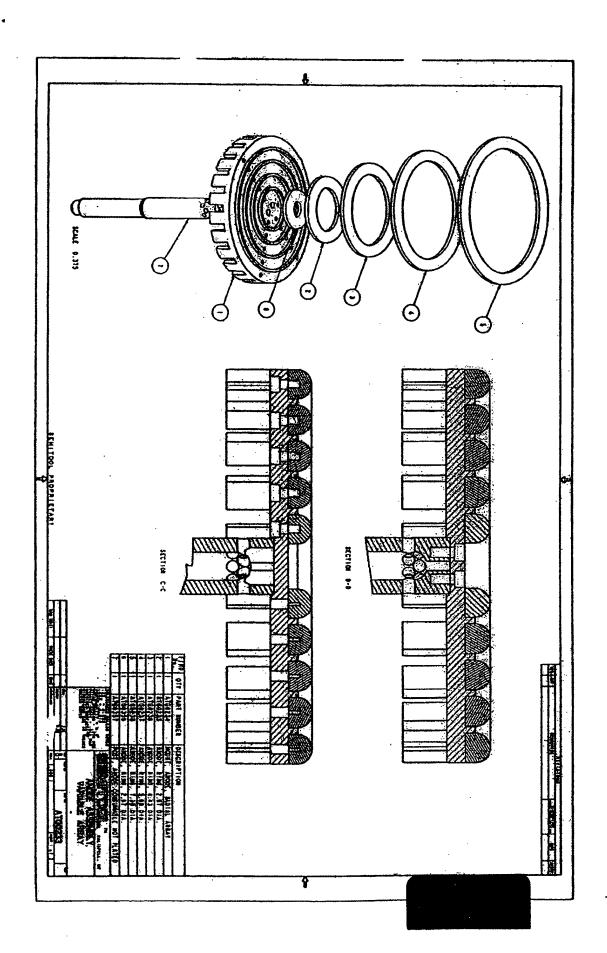


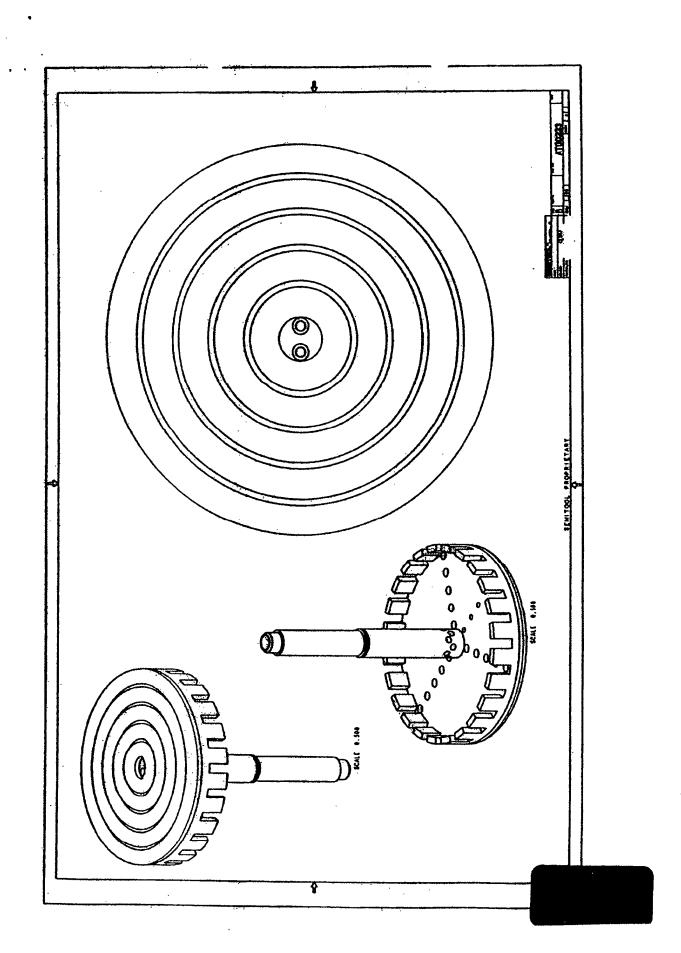












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